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PATENT APPLICATION

EX9,
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sanai *et al.*

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Serial No.: 10/030,721

Docket No.: CM-184

I, Kristin L. Shimeck, hereby certify that this correspondence is being deposited with the US Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the date of my signature.

Kristin L. Shimeck
Signature
February 28, 2002
Date of Signature

For:

**METHOD FOR PRODUCING AQUEOUS RESIN DISPERSION
COMPOSITION**

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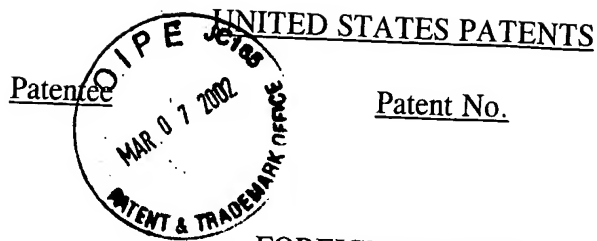
INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D. C. 20231

Dear Sir:

With respect to the examination of the above-referenced application, applicants cite the following documents, copies of which are enclosed. These documents are also listed on an accompanying Form PTO-1449.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.



Patentee

Patent No.

FOREIGN PATENTS

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<u>Document Number</u>	<u>Country</u>	<u>Publication Date</u>
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REMARKS

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It is thus requested that the foregoing materials be considered during the examination of the accompanying application and be specifically made of record therein.

Respectfully submitted,

2/26/02
Date

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